

## Memorandum

To : Honorable Jerome E. Horton, Chairman  
Honorable Michelle Steel, Vice Chair  
Honorable Betty T. Yee, First District  
Honorable George Runner, Second District  
Honorable John Chiang, State Controller

Date: July 20, 2011

From : Deborah M. Cooke, Tax Counsel IV  
Legal Department



Subject : **Board Meeting: July 26-28, 2011, Item M2**  
**Statements of Economic Interest (FPPC Form 700s) and Travel Claim Schedules**

This memo is to provide you with the information that you requested concerning the Board of Equalization's current procedures for making Statements of Economic Interests (Form 700s) and Travel Expense Claims available to the public, best practices for making this information available to the public, and information that will assist the Board in determining the scope of the employees who might be covered by any new posting practices adopted by the Board.

### **BACKGROUND**

As raised in State Controller John Chiang's April 13, 2011 letter to the Board, the Board Members were asked to consider posting on the BOE website, the Form 700 and Travel Expense Claims of the Board Members, the Board Members' staff, and the executive staff members. Historically, the BOE has not posted any Form 700s or Travel Expense Claims on the BOE website. Rather, the BOE has made the documents available to the public through the Fair Political Practices Commission (FPPC) website or upon request pursuant to the Public Records Act.

The law currently does not require a Constitutional Officer or a state agency or department to publicly post Form 700s or Travel Expense Claims on their website. The Form 700s of all elected officials are available for public view at the FPPC's website. Despite their availability at this website, some constitutional officers and state agencies implemented measures rendering the information available to the public on their own site or at a site maintained by the Governor's office. The details are described below.

### ***FPPC Website***

The FPPC maintains on its website the Form 700s of the State of California's elected officials. In this regard, in an instant, any member of the public may obtain a redacted version of the Form 700 of all Constitutional Officers (including the BOE Members), City Council Members, Board of Supervisors, as well as all members of the Assembly and Senate. The Form 700s are redacted on the website to exclude the filer's mailing address, phone number, email, and signature.

On the FPPC website, the FPCC does not maintain the Form 700 or the Travel Expense Claims for the FPPC Commissioners or its executive staff.

### ***State Controller's Office***

State Controller John Chiang has instituted a broader measure for his office, going beyond the requirements of State disclosure laws and requiring top managers of the State Controller's Office, including himself, to post their annual Statements of Economic Interests and any State travel expense claim forms on the Controller's website.

Under a section entitled "Enhancing Government Transparency" at the State Controller's website ([http://www.sco.ca.gov/eo\\_about\\_transparency.html](http://www.sco.ca.gov/eo_about_transparency.html)), the public may view the Form 700s and Travel Expense Claim forms of State Controller John Chiang, as well as that of his Chief of Staff, Deputy Chief of Staff, Chief Administrative Officer, Chief Operations Officer, Deputy Controller - Chief Counsel, Deputy Controller - Communications, Deputy Controller - Environment and Housing, Deputy Controller - Environmental Policy, Deputy Controller - Health and Consumer Policy, Deputy Controller - Investments, Deputy Controller - Taxation, Policy Director, and the Director - Office of Legislation.

**Note:** At the State Controller website, Form 700s are posted for years 2008, 2009 and 2010. Unlike the FPPC versions, most of the Form 700s are not redacted to exclude the filer's mailing address, phone number, and email. The filer's signature is redacted. For those forms that were not redacted, the filer used a business address, phone number and email. Travel Expense Claim forms are posted for 2009, 2010 and 2011 are posted on the website and appear to be the actual copies of the statements submitted by the Controller and his staff, although they are labeled "Travel & Expense Account Summary" instead of a "Travel Expense Claim". (Sample is Attached – See Attachment 1).

### ***Governor's Office – Reporting Transparency in Government Website***

In March 2009, Governor Arnold Schwarzenegger issued a memorandum to all of his staff, all Agency Secretaries, and all Department Directors, directing them to make publicly available on the Governor's website their Form 700 and Travel Expense Claims. In June 2009, Governor Schwarzenegger required the posting of External and Internal Audits on the same website. "This site will increase the transparency of Governor Schwarzenegger's administration by making information regarding Statement of Economic Interests, Form 700 and Travel Expense Claim Forms readily available to the



public.” The resulting Governor’s Orders (S-20-09 and S-08-09) required only that the agencies and departments under the Governor’s direct executive authority cooperate in the implementation of these Orders.

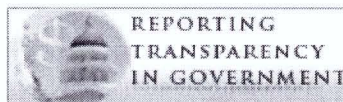
The Governor’s Reporting Transparency in Government website includes a space for state agencies and departments, as well as the Governor’s Office, to post Form 700s, Travel Expense Claims and Audit Reports. At this site, there is also a designated space for the Board of Equalization to post documents, but no documents are currently posted. The Governor’s site does not include a link for the other constitutional offices (i.e., State Controller, Lieutenant Governor, Attorney General, Insurance Commissioner, Secretary of State, Superintendent of Public Instruction, or State Treasurer).

The Governor’s Reporting Transparency in Government website can be viewed at: <http://www.transparency.ca.gov/Browse.aspx>.

Governor Edmund G. Brown, Jr.’s Form 700 and his Travel Expense Claims, as well as those of his staff, are not posted on his office’s website or at the Reporting Transparency in Government website. Currently, there are seven (7) documents posted at the Reporting Transparency in Government website under the Governor’s Office link. However, the date of the most recent document is 2009, suggesting that it was likely posted during Governor Schwarzenegger’s administration. We contacted Governor Brown’s office to determine his administration’s intentions with respect to the website and were advised that Governor Brown is not currently using the website and has not expressed any comment or statement with regard to the website.

### ***State Agencies & Departments***

Likely in response to Governor Schwarzenegger’s March 2009 memorandum, several state agencies post a Reporting Transparency in Government “banner” on their respective agency websites. Despite the new administration, our research shows that many state departments and agencies continue to utilize the Governor’s Reporting Transparency in Government website and include one of the following “banners” on their respective agency’s public website.



<http://documents.reportingtransparency.ca.gov/Home.aspx>

A click on the banner will direct one to the Governor’s Reporting Transparency in Government website where one can view any posted Form 700s, Travel Expense Claims

and/or audit reports. For example, our research showed that the Employment Development Department, Franchise Tax Board, Department of Motor Vehicles (only posts audit type reports), Department of Transportation, Department of Motor Vehicles, and the Department of Food and Agriculture use one of the above banners and post documents (Form 700s, Travel Expense Claims, and/or audit reports) on the Governor's Reporting Transparency in Government website.

**Note:** This is not an all inclusive list. Several additional agencies and departments may also post their Form 700 or Travel Expense claims at this site. Additional research is necessary in order to determine exactly which agencies and/or departments use this site, and exactly what type of documents each agency posts.

### **CalPERS**

Our research revealed that CalPERS also posts both the Form 700s and Travel Expense claims of specified key staff on the CalPERS website. The Form 700 posts are limited to Board Members, Board Member Designees, Executive Staff, Senior Investment Staff, Senior Portfolio Manager, and Portfolio Managers. The posts were made pursuant to the "CalPERS Form 700 and Travel Transparency Policy" that the Board of Administration adopted at its October 20, 2010 Board meeting. The policy was effective January 1, 2011.

**Note:** The Form 700s that are posted appear to be redacted in the same manner as those posted on the FPPC website. The CalPERS Form 700 and Travel Transparency Policy details the information that will be included on the Travel Expense Claim forms. (See <http://www.calpers.ca.gov/eip-docs/about/organization/financial-disclosures/transp-policy.pdf>) Travel information shall include the name, title, date and location of travel, purpose of travel, and the cost of travel. The Travel Expense Claim forms posted are not the actual statements submitted, but are monthly travel expense summaries for members of the CalPERS Board, Executive Staff, and other employees required to file under Government Code Section 87200. (See *Attached Sample – Attachment 2*)

The link to where this information can be found on the CalPERS website is:

<http://www.calpers.ca.gov/index.jsp?bc=/about/organization/financial-disclosures/home.xml>

### ***State Treasurer, Bill Lockyer***

State Treasurer Bill Lockyer also posts his Form 700, as well as those of his "key employees" on his website. He does not post Travel Expense Claim forms. The titles of his "key employees" are not listed on the website, but clicking on each Form 700 (disclosed in alphabetical order) shows the following individuals to be included in his definition of "key employees": Executive Director; Deputy Executive Directors; Pension & Benefits Officer; Deputy Treasurers; Chief Deputy Treasurer; Director of Investments; Pension Investment Officer; Communications Director; Treasurer's Designee; Assistant



Director, Public Finance Division; Director, Cash Management Director, Information Technology Division; Administrative Director; Director, Securities Management Division; Treasury Program Manager; General Counsel; Assistant Director Public Affairs Director; Legislative Director; and Assistant Director, Public Finance Division.

**Note:** The Form 700s that are posted redact only the signature of the filer.

The link where this information can be found is: <http://www.treasurer.ca.gov/form700/>

*The Majority of Constitutional Officers do not Post Form 700s or Travel Expense Claims*

The Attorney General, Kamala D. Harris; Lieutenant Governor, Gavin Newsom; Insurance Commissioner, Dave Jones; Secretary of State, Debra Bowen; and the Superintendent of Public Instruction, Tom Torlakson do not post any Form 700s or Travel Expense Claim forms on their respective agency's websites. They also do not make the forms available at the Governor's Reporting Transparency in Government website.

## **I. FORM 700 – BOE CURRENT POLICIES/GUIDELINES**

**Public Document Open for Inspection:** Pursuant to the Public Records Act at Government Code Section 6253(a) & (c), Form 700s are public records open to inspection at any time.

Government Code Section 6253 provides, in pertinent part:

(a) Public records are open to inspection at all times during the office hours of the state or local agency and every person has a right to inspect any public record, except as hereafter provided. Any reasonably segregable portion of a record shall be available for inspection by any person requesting the record after deletion of the portions that are exempted by law.

...

(c) Each agency, upon a request for a copy of records, shall, within 10 days from receipt of the request, determine whether the request, in whole or in part, seeks copies of disclosable public records in the possession of the agency and shall promptly notify the person making the request of the determination and the reasons therefore. ...

The Fair Political Practices Commission (FPPC) publishes a Reference Pamphlet entitled, "Form 700: A Public Document" (Dec. 2010), <http://www.fppc.ca.gov/forms/700-10-11/Form700-10-11.pdf>.

The FPPC's pamphlet provides:

Statements of Economic Interests are public documents. The filing officer must permit any member of the public to inspect and copy any statement.

- **Statements must be available as soon as possible during the agency's regular business hours, but in any event not later than the second business day after the statement is received.**
- No conditions may be placed on persons seeking access to the forms.
- No information or identification may be required from persons seeking access.
- Reproduction fees of no more than 10 cents per page may be charged.

**BOE Policy in Responding to a Request for a Form 700:** Requests for copies of Form 700s are generally received at the BOE Headquarters Reception Office, the public counter of a BOE district office, via U.S. mail or email at the Disclosure Office, or a Board Member's Office. Upon receipt of the request, it is the policy of the BOE to obtain a copy of a requested Form 700 from designated contacts in the Human Resources Division and immediately provide it to the requestor. The Form 700 must be provided on the same business day. The BOE policy further prohibits staff from requesting the name, affiliation, identification, or any other information from the requestor.

**Designated Employees of the BOE Must File a Form 700:** The BOE's policy regarding Statements of Economic Interest (Form 700) is located on eBOE. The BOE policy is to notify appointees and employees that those individuals designated in the agency's Conflict of Interest Code must file a Statement of Economic Interests (SEI) Form 700. The form is to be completed upon assuming a designated position, annually, and upon leaving a designated position. Designated employees are required to file the SEI even if they have no reportable interests. BOE's list of designated employees is included in Appendix A of the BOE's Conflict of Interest Code.

By memorandum dated March 1, 2011, the Interim Executive Director notified "All Designated Employees" of the BOE that their Form 700 was due April 1, 2011. Thereafter, the Form 700s were received and filed with the Human Resources Division where they are maintained. The Form 700s of the Board Members and the Executive Director are forwarded to the FPPC.



## **FORM 700 – ANSWERS TO QUESTIONS ON BEST PRACTICES**

Below are some common questions/issues associated with the Form 700.

### **Do all officials, appointees and employees have the same disclosure requirements for Form 700 reporting?**

*No, the BOE's Conflict of Interest Code explicitly defines the disclosure categories of each designated filer. Those appointees and employees with greater discretion have broader reporting requirements. The Board Members must report all investments and income as well as real property interests in their agency's jurisdiction.*

### **What should BOE staff do if a request is made for a Board Member or an employee's Form 700?**

*It is the policy of the BOE to not request the name, affiliation, identification or any other information from the requestor. Staff should write down the correct spelling of the Board employee's name and the year(s) requested. Staff should contact the Human Resources Division to obtain the Form 700, and the document should be provided immediately without question, and no later than the same business day. If the request is not made in person, a staff member may inquire as to how the requestor would like to receive the form.*

### **Do other Constitutional Officers and State Agencies post Form 700s on their websites?**

*The Legal Department's research revealed that only the State Controller, State Treasurer Bill Lockyer, and CalPERS post Form 700s on their websites. Many other agencies and departments include the Reporting Transparency in Government banner on their websites. Clicking on this banner brings one to the Governor's Reporting Transparency in Government website where, in some instances, the Form 700s can be accessed.*

### **How often does the BOE receive requests for Form 700s?**

*Information maintained by the BOE's Disclosure Office reveals that three (3) formal (i.e., a written request made pursuant to the Public Records Act) requests were made for the Form 700 of each Board Member since July 2008. One request was made for all Board Members and their staff, one was made for the Form 700 of all Board Members, and the other was made for a single BOE employee. Some requests for Form 700 are not made through the Disclosure Office and are handled by the Human Resources Division, which is charged with the responsibility of filing, maintaining and releasing Form 700s. According to Human Resources, no more than ten (10) requests have been received per year since 2009. Since the beginning*

*of 2011, Human Resources received six (6) requests for one or more Form 700 of the Board Members and/or their staff.*

**If the Board adopts guidelines for posting Form 700s on the BOE website, which employees' Form 700 information should be posted?**

*Since such guidelines would likely be implemented for the purposes of enhancing transparency, ensuring unbiased decision making, and avoiding conflicts of interest, in deciding which employees should post their Form 700s, the Board might consider whether the employee:*

- *is in a position where he/she regularly makes governmental decisions having agency-wide impact;*
- *is in the direct line of succession to the Board Member's office upon vacancy;*
- *is in a position requiring regular travel;*
- *is in a designated position listed in the BOE's Conflict of Interest Code.*

**FORM 700 – POSSIBLE FUTURE ENHANCEMENTS FOR CONSIDERATION**

If the Board wishes to consider possible future enhancements regarding public access to Form 700s, our research shows that there are four methods by which the Board may do so. As indicated however, the Board's current practice and procedures are consistent with California law.

1. **Link to FPPC Website:** The Board may include a link to the FPPC's website where a member of the public may view the Form 700 (with the mailing address, phone number, email and signature redacted) of each Board Member.
2. **Post Form 700 under "About BOE" Section:** Consistent with the State Controller, the Board may post directly on the BOE website the Form 700s of the Board Members, Board Members' key Staff, and key executive staff.
3. **Post an Email Link:** The BOE may include a link on its website allowing a requestor to send an email directly to the contact(s) in the Human Resources Division that currently provide copies of Form 700s to staff or directly to the requestor after they receive a request.
4. **Reporting Transparency in Government Banner on Home Page:** Consistent with other state agencies and departments, the Board may include the Governor's Reporting Transparency in Government Banner on the BOE website (which it already does) and post the Form 700s of the Board Members, Board Members' Staff, and key executive staff at the Governor's Reporting Transparency in Government website.



In considering its options, the Board may also decide whether it would like to redact only the signature (consistent with the State Controller) or the address, phone number and email contact information as well (consistent with the FPPC posted versions) from any posted Form 700. (*A sample of an FPPC redacted Form 700 is attached – Attachment 3*).

## **II. TRAVEL EXPENSE CLAIMS – CURRENT POLICIES/GUIDELINES**

**Public Document Open for Inspection:** Travel Expense Claims are available to the public upon request pursuant to the Public Records Act. (Gov. Code, § 6253 (a) & (b).)

**Redaction of Personal Information:** Personal information may be redacted by BOE staff from the Travel Expense Claim forms before production in order to avoid unwarranted invasion of security or privacy pursuant to exemptions enumerated in Government Code Section 6254.

### **TRAVEL EXPENSE CLAIMS – ANSWERS TO QUESTIONS ON BEST PRACTICES**

Below are some common questions/issues associated with Travel Expense Claim forms.

**Does the Board have policies and procedures for redacting travel claims sufficient enough to protect employees and Board Members from potential harm?**

*At this time, no specific procedures are in place because the BOE does not currently post the Travel Expense Claim forms of Board Members or their staff. However, when the agency receives such requests pursuant to the Public Records Act, confidential information is properly redacted before disclosure. In addition, depending on the circumstances, there may be provisions in the law, including the Public Records Act, that carve out narrow exceptions where disclosure of such information would render an individual vulnerable to attack at a specific time and place, or invade upon a person's financial and personal privacy.*

**How often are the Travel Expense Claims of Board Members and their staff requested at this time? Is there a need to post the Travel Expense Claims?**

*The BOE's Disclosure Office records show that the Travel Expense Claims of the Board Members and their staff were requested on four (4) occasions since July 2008. Based on the limited demand, a determination should be made whether there is a "need" to post travel expense claims. The definition of "need," can be viewed as either the demand for the information, or the desire to enhance transparency of the Travel Expense Claims made by Board Member, staff, and executive management.*

**Should the BOE post the actual Travel Expense Claim or is a summary sufficient?**

*CalPERS currently posts on their website a monthly summary of the Travel Expense Claims paid in the preceding month. The summary lists the name, title, dates of travel, location of travel, purpose of travel and cost of travel on the summary. The summary does not include flight times or the actual date of the flight. (A sample is attached). We note, however, from our research in recent prior months that it appears that CalPERS has since scaled back in terms of the amount of travel information it posts to its website. Previously, CalPERS posted employees' and Members' actual Travel Expense Claim forms with details, their SWABIZ flight travel itineraries (with confirmation numbers, flight times, Airline Reward Numbers unredacted), and copies of hotel receipts for a given trip.*

*It appears that the State Controller's Office may post the actual Travel Expense Claim form that was submitted to obtain reimbursement. Their form is entitled, "Travel & Expense Account Summary." (A sample is attached – See Attachment 1). The State Controller's forms reveal the name of the employee, the expense dates, total amount requested, the direct charge total, and the amount of any funds advanced to the employee before the trip. The name of the hotel, the name of the airline, and the actual time of the flight is not disclosed. For Example, the State Controller's trip on April 6-7, 2011, is described as "Regular Travel" and the trip name as "April 2011 SAC." The total was \$467.06, with \$95.66 described only as lodging and an additional \$185.70 described as "Commercial Air Fare (d)" on Wednesday April 6, 2011, and Thursday April 7, 2011.*

**TRAVEL EXPENSE CLAIMS – FUTURE ENHANCEMENTS FOR CONSIDERATION**

Posting the Board Members' Travel Expense Claim forms is not required by law, however it does eliminate the need for the public to send an email or other request under the Public Records Act for this information. There will, however, be a cost in the form of staff time. It will take staff time to either post the forms or prepare a summary. Additional resources would be expended in order to redact portions of the form. The cost of posting the forms or conducting the redactions has yet to be determined. Such costs should be balanced against the public's desire for transparency.

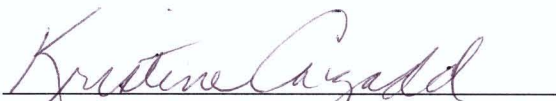
Publicly posting Travel Expense Claims also raises the need to discuss the balancing of Board Member and employee safety and privacy with the desire for increased transparency. In the interest of privacy and safety, pursuant to Government Code Section 6254(k), any confidential information may be redacted and/or not included in the web versions of the form.



There are three methods by which the Board may make the Travel Expense Claim forms, or a summary, more readily available to the public. The Board may also decide to do nothing because its current practice and procedures are consistent with California law.

1. **Post Travel Expense Claim Forms under the "About BOE" Section:** Consistent with the State Controller, the Board may post directly on its website the Travel Expense Claim forms of the Board Members, Board Members' key Staff, and key executive staff.
2. **Post an Email Link:** The BOE may include a link on its website allowing a requestor to send an email directly to either the Accounting Department (Travel Unit) or Disclosure Office. The Disclosure Office is currently responsible for coordinating requests for Travel Expense Claim forms made under the Public Records Act.
3. **Post Transparency in Government Banner on Home Page:** Consistent with other state agencies and departments, the Board may include the Governor's Reporting Transparency in Government Banner on the BOE website and post the Travel Expense Claim Forms of the Board Members, Board Members' key staff, and key executive staff at the Governor's Reporting Transparency in Government website. We note that the BOE currently does include the Governor's Reporting Transparency in Government Banner on the BOE website; however, there is no information from the BOE posted at the Governor's site.

Should you have any further questions, please contact me at 916 324-2603.

Approved:   
Kristine Cazadd, Interim Executive Director

cc: Ms. Regina Evans  
Mr. Louis Barnett  
Mr. Alan LoFaso  
Mr. Sean Wallentine  
Ms. Marcy Jo Mandel  
Ms. Kristine Cazadd  
Mr. Randy Ferris  
Ms. Liz Houser  
Ms. Anita Gore  
Ms. Amy Kelly  
Ms. Shirley Marte

# Travel & Expense Account Summary

Employee Name John Chiang  
Expense Dates 04/06/11-04/15/11  
Report Name April 2011

Request Total \$ 1767.25  
Direct Charge Total - 1480.60  
Travel Advances - 0.00  
Net Due Employee = 286.65

Trip Totals		
Trip/Expense Category	Trip Name	Total Amount
Regular Travel	April 4 SESAC	462.06
Regular Travel	April 2 SAC	466.73
Regular Travel	April 1 SAC	371.40
Regular Travel	April 2011 SAC	467.06

DATE	Wed Apr 6	Thu Apr 7								TOTAL
Lodging	95.66									95.66
Commercial Air Fare (d)	185.70	185.70								371.40
TOTALS \$	281.36	185.70								467.06

DATE	Sun Apr 10									TOTAL
Commercial Air Fare (d)	371.40									371.40
TOTALS \$	371.40									371.40

DATE	Mon Apr 11	Tue Apr 12								TOTAL
Commercial Air Fare (d)	185.70	185.70								371.40
Lodging	95.33	0.00								95.33
TOTALS \$	281.03	185.70								466.73



# Travel & Expense Account Summary

DATE	Thu Apr 14	Fri Apr 15								TOTAL
Commercial Air Fare (d)	180.70	185.70								366.40
Lodging	95.66									95.66
TOTALS \$	276.36	185.70								462.06

CalPERS Board Members/Representatives and Key Staff Claims Paid in May 2011					
NAME	Title	Dates of Travel	Locations of Travel	Purpose of Travel	Cost of Travel
Feckner, Rob	Member Board Administration	01/28/2011	San Francisco, CA	Latin Markets Brazil Roundtable	\$55.50
Feckner, Rob	Member Board Administration	02/24-25, 27-03/03/2011	Sacramento; Los Angeles, CA	Work conducted at the CalPERS office; LA Trustees Round up; Speak to Retiree Group	\$925.69
Jelincic, Joseph	Member Board Administration	04/02-06/2011	Washington, DC	CII Spring 2011 Meeting	\$1,364.52
Jelincic, Joseph	Member Board Administration	04/08/2011	Napa, CA	Meeting with PESO	\$75.48
Jelincic, Joseph	Member Board Administration	04/23/2011	Los Angeles, CA	Attend CSU Emeritus and Retired Faculty	\$26.34
Mathur, Priya	Member Board Administration	04/12-13/2011	Sacramento, CA	Board Meeting	\$263.08
Mathur, Priya	Member Board Administration	02/05-09/2011	Unthicum, MD	AFSCME Pension Trustee Conference	\$89.46
Moret, Louis	Member Board Administration	04/02-08/2011	Washington, DC	CII Spring 2011 Meeting	\$1,056.48
Moret, Louis	Member Board Administration	04/10-13/2011	Sacramento, CA	Board Meeting	\$465.89
Oliveira, Tony	Member Board Administration	04/11-12/2011	Sacramento, CA	Board Meeting	\$340.80
Arguelles, Jesus	Portfolio Manager	03/29-04/02/2011	Orlando, FL	Educational Conference/Forum: ILPA Members-Only Spring Conference	\$1,023.65
Billingsley, Kathleen	Assistant Executive Officer	02/24/2011	Sacramento, CA	Meeting with Labor Coalition	\$10.50
Billingsley, Kathleen	Assistant Executive Officer	03/02-04/2011	San Francisco, CA	National Business Coalition on Health (NBCH)	\$560.99
Billingsley, Kathleen	Assistant Executive Officer	03/25/2011	San Leandro, CA	Health Care Innovation Center	\$37.26
Boynton, Ann	Deputy Executive Officer Benefits Administration	04/27-29/2011	Oakland; Dana Point; San Francisco, CA	Meeting with PERS Board Member; Speak at Health Evolution Partners Leadership Summit; Cal eConnect Board Meeting PBGH/CHCC CEO Summit IV	\$519.54
Dandurand, Craig	Portfolio Manager	04/05/2011	San Francisco, CA	Educational Conference/Forum: Newedge San Francisco Research Forum	\$83.17
Dear, Joseph	Chief Investment Officer	03/10, 17-20, 23-27/2011	Los Angeles, CA; Palo Alto, CA	Educational Conference/Forum: Milken Institutes Association Breakfast Series; Educational Conference/Forum: Stanford's Fiduciary College and Western Region; Industry Advisory Organization, Committee, and Association: International Brotherhood of Teamsters; Investment Portfolio/Manager Due Diligence	\$888.54
Dear, Joseph	Chief Investment Officer	03/30-04/02/2011	New York, NY	Industry Advisory Organization, Committee, and Association: Private Equity Growth Capital Council Board; Guest Appearance on CNBC's "The Squawk Box"; Investment Portfolio/Manager Due Diligence	\$729.64
Guillot, Janine	Chief Operating Investment Officer	03/19-25/2011	Boston, MA	Educational Conference/Forum: Driving Government Performance Class	\$432.52
Ishii, Curtis	Senior Investment Officer	03/21-23/2011	Washington, DC	Educational Conference/Forum: 2011 Annual Fixed Income Forum and Credit Roundtable	\$1,042.73



CalPERS Board Members/Representatives and Key Staff Claims Paid in May 2011					
NAME	Title	Dates of Travel	Locations of Travel	Purpose of Travel	Cost of Travel
Knueven, Dennis	Portfolio Manager	03/30-31/2011	Beverly Hills, CA	Partnership Meeting: TPG Growth Annual and Advisory Board Meetings	\$289.97
Lapenna, Todd	Portfolio Manager	02/17-18/2011	Los Angeles, CA	Educational Conference/Forum: Bloomberg Pension and Endowment Portfolio Strategies; Investment Portfolio/Manager Due Diligence	\$265.26
Lapenna, Todd	Portfolio Manager	02/22-24/2011	New York, NY	Educational Conference/Forum: 3rd Annual Infrastructure Investors Forum	\$810.63
Majeed, Farouki	Senior Investment Officer	04/11-15/2011	Manila, Philippines	Educational Conference/Forum: 2011 Manila Dialogue	\$889.57
Mixon, Peter	General Counsel	05/04-05/2011	San Francisco, CA	2011 Chief Legal Officer Leadership Forum	\$478.58
Mullan, Randall	Senior Portfolio Manager	02/17-18/2011	Los Angeles, CA	Educational Conference/Forum: Bloomberg Pension and Endowment Portfolio Strategies; Investment Portfolio/Manager Due Diligence	\$194.40
Riffle, Michael	Portfolio Manager	02/27-03/03/2011	New York, NY	Investment Portfolio/Manager Due Diligence; Educational Conference/Forum: 13D Active-Passive Investment Summit	\$1,437.13
Rosborough, Michael	Portfolio Manager	02/09-02/18/2011	London, UK	Investment Portfolio/Manager Due Diligence: External Managers and Analysts	\$6,963.66
Sherwood McGrew, Bill	Portfolio Manager	04/03-06/2011	Washington, DC	Educational Conference/Forum: 2011 Council of Institutional Investors Spring Conference; Investment Portfolio/Manager Due Diligence	\$1,060.55
Sherwood McGrew, Bill	Portfolio Manager	03/20-25/2011	London, UK	Industry Advisory Organization, Committee, and Association: IESG Global Peer Group & IASB	\$2,484.43
Silberstein, Kurt	Senior Portfolio Manager	03/06-10/2011	Hong Kong; Singapore	Investment Portfolio/Manager Due Diligence	\$2,910.30



Please type or print in ink.

2011 MAR -1 AM 9:44

NAME OF FILER	(LAST)	(FIRST)	(MIDDLE)
	CHIANG	JOHN	

**1. Office, Agency, or Court**

Agency Name

STATE CONTROLLER'S OFFICE

CALIFORNIA STATE CONTROLLER

Division, Board, Department, District, if applicable

Your Position

▶ If filing for multiple positions, list below or on an attachment.

Agency: _____	Position: _____
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**2. Jurisdiction of Office (Check at least one box)**
☒ State

☐ Judge (Statewide Jurisdiction)

☐ Multi-County \_\_\_\_\_

☐ County of \_\_\_\_\_

☐ City of \_\_\_\_\_

☐ Other \_\_\_\_\_

**3. Type of Statement (Check at least one box)**
☒ Annual: The period covered is January 1, 2010, through December 31, 2010.

☐ Leaving Office: Date Left \_\_\_\_\_  
 (Check one)

 -or-  
 The period covered is \_\_\_\_\_, through December 31, 2010.

☐ The period covered is January 1, 2010, through the date of leaving office.

☐ Assuming Office: Date \_\_\_\_\_

☐ The period covered is \_\_\_\_\_, through the date of leaving office.

☐ Candidate: Election Year \_\_\_\_\_ Office sought, if different than Part 1: \_\_\_\_\_

**4. Schedule Summary**

Check applicable schedules or "None."

 ▶ Total number of pages including this cover page: 15
☐ Schedule A-1 - Investments - schedule attached

☒ Schedule C - Income, Loans, & Business Positions - schedule attached

☒ Schedule A-2 - Investments - schedule attached

☒ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☒ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

5. V

herein and in any attached schedules is true and complete. I acknowledge this is a  
 I certify under penalty of perjury under the laws of the State of California that

Date Signed 2/28/11  
 (month, day, year)

Signature



**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
(Ownership Interest is 10% or Greater)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name <u>John Chiang</u>

**1. BUSINESS ENTITY OR TRUST**

BUNDLE UP JOY

Name

Address (Business Address Acceptable)

Check one

☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

BABY CLOTHING

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

10

ACQUIRED

10

DISPOSED

NATURE OF INVESTMENT

☐ Sole Proprietorship

☐ Partnership

☒ SPOUSE'S BUSINESS

Other

YOUR BUSINESS POSITION ADJF

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

☐ \$0 - \$499

☐ \$10,001 - \$100,000

☐ \$500 - \$1,000

☐ OVER \$100,000

☒ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

☐ INVESTMENT

☐ REAL PROPERTY

Name of Business Entity or

Street Address or Assessor's Parcel Number of Real Property

Description of Business Activity or

City or Other Precise Location of Real Property

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

10

ACQUIRED

10

DISPOSED

NATURE OF INTEREST

☐ Property Ownership/Deed of Trust

☐ Stock

☐ Partnership

☐ Leasehold

Yrs. remaining

☐ Other

☐ Check box if additional schedules reporting investments or real property are attached

Comments:

**1. BUSINESS ENTITY OR TRUST**

Name

Address (Business Address Acceptable)

Check one

☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

10

ACQUIRED

10

DISPOSED

NATURE OF INVESTMENT

☐ Sole Proprietorship

☐ Partnership

☐

Other

YOUR BUSINESS POSITION

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

☐ \$0 - \$499

☐ \$10,001 - \$100,000

☐ \$500 - \$1,000

☐ OVER \$100,000

☐ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

☐ INVESTMENT

☐ REAL PROPERTY

Name of Business Entity or

Street Address or Assessor's Parcel Number of Real Property

Description of Business Activity or

City or Other Precise Location of Real Property

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

10

ACQUIRED

10

DISPOSED

NATURE OF INTEREST

☐ Property Ownership/Deed of Trust

☐ Stock

☐ Partnership

☐ Leasehold

Yrs. remaining

☐ Other

☐ Check box if additional schedules reporting investments or real property are attached

**SCHEDULE C**  
**Income, Loans, & Business**  
**Positions**  
(Other than Gifts and Travel Payments)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name <u>JOHN CHANG</u>

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

DUNN EDWARDS

ADDRESS (Business Address Acceptable)

4885 E. 52nd PLAC, LOS ANGELES, CA 90058

BUSINESS ACTIVITY, IF ANY, OF SOURCE

PAINT

YOUR BUSINESS POSITION

MARKETING

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000      ☐ \$1,001 - \$10,000  
☒ \$10,001 - \$100,000      ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary      ☒ Spouse's or registered domestic partner's income

☐ Loan repayment      ☐ Partnership

☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)

☐ Commission or      ☐ Rental Income, list each source of \$10,000 or more

☐ Other \_\_\_\_\_  
(Describe)

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000      ☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000      ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary      ☐ Spouse's or registered domestic partner's income

☐ Loan repayment      ☐ Partnership

☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)

☐ Commission or      ☐ Rental Income, list each source of \$10,000 or more

☐ Other \_\_\_\_\_  
(Describe)

► **2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

\* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐ \$500 - \$1,000  
☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000  
☐ OVER \$100,000

INTEREST RATE

\_\_\_\_\_ %      ☐ None

TERM (Months/Years)

SECURITY FOR LOAN

☐ None      ☐ Personal residence

☐ Real Property \_\_\_\_\_  
Street address

\_\_\_\_\_ City

☐ Guarantor \_\_\_\_\_

☐ Other \_\_\_\_\_  
(Describe)

Comments: \_\_\_\_\_



**SCHEDULE D**  
**Income – Gifts**

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name <u>John Chiang</u>
-------------------------------------------------------------------------------------------------

► NAME OF SOURCE  
BET Tzedek

ADDRESS (Business Address Acceptable) 90036  
145 S. Fairfax Avenue, #200 Los Angeles, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Legal Services

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>1/13/10</u>	<u>\$ 150</u>	<u>Dinner</u>
<u>  /  /  </u>	<u>\$</u>	<u>  </u>
<u>  /  /  </u>	<u>\$</u>	<u>  </u>

► NAME OF SOURCE  
Abdullahi Delawalla

ADDRESS (Business Address Acceptable)  
1531 12th ST., #105 Santa Monica 90401

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Food

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>1/15/10</u>	<u>\$ 370.00</u>	<u>2 Laker Tickets</u>
<u>  /  /  </u>	<u>\$</u>	<u>  </u>
<u>  /  /  </u>	<u>\$</u>	<u>  </u>

► NAME OF SOURCE  
American Israel Public Affairs Committee

ADDRESS (Business Address Acceptable) 90048  
6310 San Vicente Bl #275, Los Angeles, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Public Affairs

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>1/24/10</u>	<u>\$ 110</u>	<u>Annual Dinner</u>
<u>  /  /  </u>	<u>\$</u>	<u>  </u>
<u>  /  /  </u>	<u>\$</u>	<u>  </u>

► NAME OF SOURCE  
Los Angeles County Federation of Labor

ADDRESS (Business Address Acceptable)  
2130 James M. Wood St. Los Angeles 90006

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Labor

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>1/15/10</u>	<u>\$ 70</u>	<u>Martin Luther King Breakfast</u>
<u>3/9/10</u>	<u>\$ 20</u>	<u>Legislative Reception</u>
<u>  /  /  </u>	<u>\$</u>	<u>  </u>

► NAME OF SOURCE  
Consumer Attorneys Association of Los Angeles

ADDRESS (Business Address Acceptable)  
800 6th St. #700 Los Angeles, CA 90017

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Legal

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>1/23/10</u>	<u>\$ 110</u>	<u>Installation Dinner</u>
<u>  /  /  </u>	<u>\$</u>	<u>  </u>
<u>  /  /  </u>	<u>\$</u>	<u>  </u>

► NAME OF SOURCE  
AEB

ADDRESS (Business Address Acceptable)  
800 Wilshire Blvd. #305 Los Angeles 90015

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Sports and Entertainment

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>2/11/10</u>	<u>\$ 97*</u>	<u>LA King's Ticket, Reception</u>
<u>  /  /  </u>	<u>\$</u>	<u>  </u>
<u>  /  /  </u>	<u>\$</u>	<u>  </u>

Comments: \* I delivered congratulatory remarks for black history month.

# SCHEDULE D Income - Gifts

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

John Chiang

NAME OF SOURCE

Agribusiness President's Council

ADDRESS (Business Address Acceptable)

1521 I St., Sacramento, 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Agriculture

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

2/17/10 \$ 41 Reception

/ / / \$                      

/ / / \$                      

NAME OF SOURCE

Japanese American Bar Association

ADDRESS (Business Address Acceptable)

P.O. Box 86812, Los Angeles 90086

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Legal

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

2/19/10 \$ 110.00 Installation Dinner

/ / / \$                      

/ / / \$                      

NAME OF SOURCE

Fox Entertainment Group

ADDRESS (Business Address Acceptable)

P.O. Box 900, Beverly Hills 90213

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Entertainment

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

2/21/10 \$ 20 Movie Tickets

6/30/10 \$ 31.98 Movie Ticket - wife

/ / / \$                      

NAME OF SOURCE

California Cotton Ginners and Growers Association

ADDRESS (Business Address Acceptable)

1785 N. Fine Ave., Fresno 93727

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Agriculture

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

2/17/10 \$ 45.00\* Cotton Towels

/ / / \$                      

/ / / \$                      

NAME OF SOURCE

Central City Optimist Club

ADDRESS (Business Address Acceptable)

28634 S. Western Ave., #42, Rancho Palos Verdes 90275

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Service Organization

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

2/20/10 \$ 50 Banquet Ticket - wife

/ / / \$                      

/ / / \$                      

NAME OF SOURCE

Valley Industry and Commerce Association

ADDRESS (Business Address Acceptable)

5121 Van Nuys Bl. #203 Sherman Oaks 91403

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Business Advocacy

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

2/26/10 \$ 45 State Officeholders Dinner

6/17/10 \$ 63 Hall of Fame Dinner

/ / / \$                      

Comments: \* Towels donated to ACC Nursing Home after 30 days of receipt



# SCHEDULE D Income - Gifts

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

John Chiang

► NAME OF SOURCE

Hong Kong Association of Southern California

ADDRESS (Business Address Acceptable)

360 S. Figueroa #139, Los Angeles 90071

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Cultural

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>2/27/10</u>	<u>\$ 90*</u>	<u>Chinese New Year</u>
<u>   </u>	<u>   </u>	<u>Dinner</u>
<u>   </u>	<u>   </u>	<u>   </u>

► NAME OF SOURCE

American Jewish Committee

ADDRESS (Business Address Acceptable)

9911 W. Pico Bl., #1602 Los Angeles 90035

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>3/17/10</u>	<u>\$ 125</u>	<u>Awards Dinner</u>
<u>   </u>	<u>   </u>	<u>   </u>
<u>   </u>	<u>   </u>	<u>   </u>

► NAME OF SOURCE

Los Angeles

National Association of Women Business Owners-

ADDRESS (Business Address Acceptable)

900 Wilshire Bl #404 Los Angeles 90017

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Business

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>3/19/10</u>	<u>\$ 150</u>	<u>Luncheon</u>
<u>   </u>	<u>   </u>	<u>   </u>
<u>   </u>	<u>   </u>	<u>   </u>

► NAME OF SOURCE

California Science Foundation

ADDRESS (Business Address Acceptable)

700 Exposition Drive, Los Angeles 90037

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Science Education

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>3/20/10</u>	<u>\$ 85</u>	<u>Ecosystems Grand</u>
<u>   </u>	<u>   </u>	<u>Opening Gala</u>
<u>   </u>	<u>   </u>	<u>   </u>

► NAME OF SOURCE

Southern California Chinese Lawyers Association

ADDRESS (Business Address Acceptable)

P.O. Box 861959 Terminal Annex, Los Angeles 90086

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Legal

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>3/26/10</u>	<u>\$ 100</u>	<u>Installation Dinner</u>
<u>   </u>	<u>   </u>	<u>   </u>
<u>   </u>	<u>   </u>	<u>   </u>

► NAME OF SOURCE

University of South Florida Foundation

ADDRESS (Business Address Acceptable)

33620

4202 East Vuler Ave, ALC 100, Tampa, Florida

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Education

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4/11/10</u>	<u>\$ 50</u>	<u>Brunch</u>
<u>   </u>	<u>   </u>	<u>   </u>
<u>   </u>	<u>   </u>	<u>   </u>

Comments: \* I gave congratulatory remarks.

**SCHEDULE D**  
**Income - Gifts**

<b>CALIFORNIA FORM 700</b> <b>FAIR POLITICAL PRACTICES COMMISSION</b>
Name <u>John Chiang</u>

▶ NAME OF SOURCE  
United Nurses Association of California  
ADDRESS (Business Address Acceptable)  
955 Overland Court, #150, San Dimas 91773  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Labor

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4/16/10</u>	<u>\$ 37.67</u>	<u>Reception</u>
<u>12/10/10</u>	<u>\$ 36150</u>	<u>Open House and</u>
<u>      </u>	<u>      </u>	<u>Retirement Celebration</u>

▶ NAME OF SOURCE  
Lynn Fukuhara-Arthurs  
ADDRESS (Business Address Acceptable)  
10153 1/2 Riverside Dr, #111, Toluca Lake 91602  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Insurance

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4/19/10</u>	<u>\$ 400.00</u>	<u>Awards Dinner</u>
<u>      </u>	<u>      </u>	<u>Ticket - East West</u>
<u>      </u>	<u>      </u>	<u>Players</u>

▶ NAME OF SOURCE  
Steve and Heather Muchin  
ADDRESS (Business Address Acceptable)  
888 East Walnut, Pasadena 91101  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Financial

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4/25/10</u>	<u>\$ 175.00</u>	<u>Dinner</u>
<u>      </u>	<u>      </u>	<u>      </u>
<u>      </u>	<u>      </u>	<u>      </u>

▶ NAME OF SOURCE  
Paul Arne  
ADDRESS (Business Address Acceptable)  
556 S. Fair Oaks Avenue, Suite 91105, #1014, #468, Pasadena  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Consultant

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4/17/10</u>	<u>\$ 125</u>	<u>California Democratic</u>
<u>      </u>	<u>      </u>	<u>Party Dinner Ticket</u>
<u>      </u>	<u>      </u>	<u>      </u>

▶ NAME OF SOURCE  
Yucaipa Companies  
ADDRESS (Business Address Acceptable)  
9130 W. Sunset Blvd, Los Angeles 90069  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Investments

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4/20/10</u>	<u>\$ 50</u>	<u>Annual Meeting -</u>
<u>      </u>	<u>      </u>	<u>lunch</u>
<u>      </u>	<u>      </u>	<u>      </u>

▶ NAME OF SOURCE  
Milken Institute  
ADDRESS (Business Address Acceptable)  
1250 Fourth Street, Santa Monica 90401  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Educational

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4/26/10</u>	<u>\$ 75</u>	<u>lunch</u>
<u>4/27/10</u>	<u>\$ 50*</u>	<u>breakfast</u>
<u>      </u>	<u>      </u>	<u>      </u>

Comments: \* Breakfast sponsored by Ewing Marion Kauffman Foundation, 4801 Rockhill Road, Kansas City, Missouri 64110. Financial Education Nonprofit. I was asked to comment about California's fiscal condition.



# SCHEDULE D Income - Gifts

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name <u>John Chiang</u>

► NAME OF SOURCE  
California Latino Legislative Caucus  
ADDRESS (Business Address Acceptable)  
State Capitol Room 5100, Sacramento, CA 95814  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Legislative

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>5/3/10</u>	<u>\$ 53.60*</u>	<u>Latino Spirit Awards</u>
<u>   </u>	<u>   </u>	<u>Reception</u>
<u>   </u>	<u>   </u>	<u>   </u>

► NAME OF SOURCE  
Hollenbeck Police Business Council  
ADDRESS (Business Address Acceptable)  
90033 2015 East First Street, Los Angeles, CA  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Youth Services

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>5/8/10</u>	<u>\$ 80.00</u>	<u>Family of the Year</u>
<u>   </u>	<u>   </u>	<u>Award Dinner</u>
<u>   </u>	<u>   </u>	<u>   </u>

► NAME OF SOURCE  
Orange County Asian Bar Association  
ADDRESS (Business Address Acceptable)  
92603 6789 Quail Hill Parkway, #204 Irvine, CA  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Legal

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>5/13/10</u>	<u>\$ 85</u>	<u>Installation Dinner</u>
<u>   </u>	<u>   </u>	<u>   </u>
<u>   </u>	<u>   </u>	<u>   </u>

► NAME OF SOURCE  
California Women for Agriculture  
ADDRESS (Business Address Acceptable)  
P.O. Box 313, Kingsburg, CA 93631  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Agriculture

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>5/4/10</u>	<u>\$ 80</u>	<u>Reception</u>
<u>   </u>	<u>   </u>	<u>   </u>
<u>   </u>	<u>   </u>	<u>   </u>

► NAME OF SOURCE  
AHMC Health Foundation  
ADDRESS (Business Address Acceptable)  
438 W. Las Tunas Drive, San Gabriel, CA 91776  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Healthcare Services

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>5/8/10</u>	<u>\$ 83.00</u>	<u>Gala - Dinner</u>
<u>   </u>	<u>   </u>	<u>   </u>
<u>   </u>	<u>   </u>	<u>   </u>

► NAME OF SOURCE  
Cal Chamber  
ADDRESS (Business Address Acceptable)  
95814 1215 K Street, #1400, Sacramento, CA  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Business

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>5/17/10</u>	<u>\$ 64.07</u>	<u>Dinner</u>
<u>   </u>	<u>   </u>	<u>   </u>
<u>   </u>	<u>   </u>	<u>   </u>

Comments: \* Value for two tickets. I did not use the second ticket.

# SCHEDULE D Income - Gifts

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name <u>John Chiang</u>

NAME OF SOURCE  
Victor Park  
ADDRESS (Business Address Acceptable)  
650 Fifth Avenue, New York, NY 10185  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Finance

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>5/18/10</u>	<u>\$150</u>	<u>Dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
UCLA Burke Center for International Relations  
ADDRESS (Business Address Acceptable)  
11353 Bunch Hall, Box 951487, Los Angeles, CA 90095  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Education

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>5/24/10</u>	<u>\$68</u>	<u>Dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Public Counsel  
ADDRESS (Business Address Acceptable)  
P.O. Box 76900, Los Angeles, CA 90076  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Legal

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>6/16/10</u>	<u>\$100.00</u>	<u>William D. Douglas</u>
<u>/ /</u>	<u>\$</u>	<u>Award dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Pres. William J. Clinton  
ADDRESS (Business Address Acceptable)  
55 West 125th St, New York, NY 10027  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Public Policy

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>5/19/10</u>	<u>\$125 (est)*</u>	<u>Dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Global Green USA  
ADDRESS (Business Address Acceptable)  
2218 Main St, 2nd Flr., Santa Monica, CA 90405  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Environment

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>6/12/10</u>	<u>\$25</u>	<u>Reception</u>
<u>6/12/10</u>	<u>\$75</u>	<u>Dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Maria Chen  
ADDRESS (Business Address Acceptable)  
7175 Sharon Drive, San Jose, CA 95129  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Auto

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>6/18/10</u>	<u>\$120.00</u>	<u>Chamber Dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

Comments: \* Assistant said it was a social dinner, and there was no gift value. Group appetizers, salad, fish, skipped dessert, diet coke. Invitation came from Pres. Clinton's office. Hosts could include others.



**SCHEDULE D**  
**Income – Gifts**

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name <u>John Chiang</u>
-------------------------------------------------------------------------------------------------

► NAME OF SOURCE  
Seyfarth Shaw

ADDRESS (Business Address Acceptable) 90071  
333 S. Hope St, #3900, Los Angeles, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Legal

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>6/24/10</u>	<u>\$135.00</u>	<u>Los Angeles County Bar Association</u>
<u>      </u>	<u>\$      </u>	<u>Dinner</u>

► NAME OF SOURCE  
California Labor Federation

ADDRESS (Business Address Acceptable) 94610  
600 S. Brand Ave, #410, Oakland, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Labor

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>7/13/10</u>	<u>\$35*</u>	<u>Lunch</u>
<u>12/6/10</u>	<u>\$16.44</u>	<u>Reception</u>

► NAME OF SOURCE  
California Teachers Association

ADDRESS (Business Address Acceptable) 95814  
1118 10th Street, Sacramento, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Labor

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>8/1/10</u>	<u>\$43.99</u>	<u>Reception**</u>

► NAME OF SOURCE  
Alta Med

ADDRESS (Business Address Acceptable) 90040  
500 Citadel Drive, #490, Los Angeles, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Health Care

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>7/9/10</u>	<u>\$60</u>	<u>Ticket to fundraiser</u>

► NAME OF SOURCE  
Steve Zohn

ADDRESS (Business Address Acceptable) 90501  
2126 W. 234th Street, Torrance, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Real Estate

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>7/11/10</u>	<u>\$70</u>	<u>Wodger Ticket</u>
<u>7/11/10</u>	<u>\$25</u>	<u>Food</u>

► NAME OF SOURCE  
Taiwanese American Citizens League

ADDRESS (Business Address Acceptable) 91770  
3001 Walnut Grove #7, Rosemead, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Cultural, Advocacy

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>8/7/10</u>	<u>\$100.00</u>	<u>25th Anniversary</u>
<u>      </u>	<u>\$      </u>	<u>Dinner - wife's</u>
<u>      </u>	<u>\$      </u>	<u>Ticket</u>

Comments: \* I gave a speech after the lunch. \*\* I gave remarks about the State's fiscal conditions.

# SCHEDULE D Income - Gifts

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name <u>John Chiang</u>

NAME OF SOURCE  
Project by Project Southern California  
ADDRESS (Business Address Acceptable) 90064  
2355 Westwood Blvd, #246, Los Angeles  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Charitable

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>8/14/10</u>	<u>\$200.00*</u>	<u>Reception - Me,</u>
<u>/ /</u>	<u>\$</u>	<u>wife given a ticket</u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Chinese American Museum  
ADDRESS (Business Address Acceptable) 90012  
125 Paseo de la Plaza #300, Los Angeles, CA  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
History, Culture

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>9/19/10</u>	<u>\$60</u>	<u>Banquet</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Miguel Contreras Foundation  
ADDRESS (Business Address Acceptable) 90057  
2404 Wilshire Bl, #5A, Los Angeles, CA  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Education

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>9/21/10</u>	<u>\$95**</u>	<u>Reception, Dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Long Term Care Workers  
Service Employees International Union - United  
ADDRESS (Business Address Acceptable)  
2515 Beverly Bl, Los Angeles, CA 90057  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Labor

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>9/3/10</u>	<u>\$69.00</u>	<u>Dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Kevin Chen  
ADDRESS (Business Address Acceptable)  
35 West Valley Blvd, Alhambra, CA 91801  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Food

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>9/19/10</u>	<u>\$70</u>	<u>Dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
United Chamber of Commerce  
ADDRESS (Business Address Acceptable) 91403  
5121 Van Nuys Bl, #208 Sherman Oaks, CA  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Business

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>9/22/10</u>	<u>\$50</u>	<u>Lunch</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

Comments: \* I presented a certificate \*\* I did not have dinner.



# SCHEDULE D Income - Gifts

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name <u>John Chiang</u>

▶ NAME OF SOURCE  
Korean Consul General Los Angeles

ADDRESS (Business Address Acceptable) 90010  
3243 Wilshire Blvd. Los Angeles, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
International Affairs

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>10/1/10</u>	<u>\$50</u>	<u>National Day</u>
<u>    </u>	<u>\$    </u>	<u>Reception</u>
<u>    </u>	<u>\$    </u>	<u>    </u>

▶ NAME OF SOURCE  
Chinese Club of San Marino

ADDRESS (Business Address Acceptable) 91108  
2425 Huntington Dr., San Marino, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Cultural

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>11/6/10</u>	<u>\$100*</u>	<u>Dinner Ticket for</u>
<u>    </u>	<u>\$    </u>	<u>wife</u>
<u>    </u>	<u>\$    </u>	<u>    </u>

▶ NAME OF SOURCE  
Central Health Plan

ADDRESS (Business Address Acceptable) 91765  
1540 Bridgegate Dr., Diamond Bar, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Health care

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>11/13/10</u>	<u>\$67.20</u>	<u>Newspaper Ad</u>
<u>    </u>	<u>\$    </u>	<u>    </u>
<u>    </u>	<u>\$    </u>	<u>    </u>

▶ NAME OF SOURCE  
Nancy Kajioke

ADDRESS (Business Address Acceptable)  
3240 Arden Way, Sacramento, CA 95825

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Law Enforcement

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>11/17/10</u>	<u>\$125</u>	<u>Kings Ticket</u>
<u>    </u>	<u>\$    </u>	<u>and dinner</u>
<u>    </u>	<u>\$    </u>	<u>    </u>

▶ NAME OF SOURCE  
Stewart Kim

ADDRESS (Business Address Acceptable) 90023  
1111 Santa Monica Bl., #910, Los Angeles, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Finance

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>11/24/10</u>	<u>\$99</u>	<u>Dinner</u>
<u>    </u>	<u>\$    </u>	<u>    </u>
<u>    </u>	<u>\$    </u>	<u>    </u>

▶ NAME OF SOURCE  
Tavis Smiley

ADDRESS (Business Address Acceptable) 90043  
4434 Crenshaw Bl., Los Angeles, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
media

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12/2/10</u>	<u>\$200</u>	<u>Dinner - me</u>
<u>    </u>	<u>\$    </u>	<u>and my wife</u>
<u>    </u>	<u>\$    </u>	<u>    </u>

Comments: \* I was keynote speaker.

# SCHEDULE D Income - Gifts

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name <u>John Chiang</u>

NAME OF SOURCE  
Allied Physicians IPA  
ADDRESS (Business Address Acceptable) 91801  
1668 S. Garfield Ave, 2nd Flr. Alhambra, CA  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Health Care

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12/11/10</u>	<u>\$ 85</u>	<u>Holiday Dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Jordan Kaplan  
ADDRESS (Business Address Acceptable)  
808 Wilshire Bl #200, Santa Monica, CA 90401  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Real Estate

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12/9/10</u>	<u>\$ 53</u>	<u>Lunch</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Richard McWhorter  
ADDRESS (Business Address Acceptable)  
225 S. Lake Ave. Pasadena, CA 91101  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Financial

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>7/27/10</u>	<u>\$ 100</u>	<u>Dinner</u>
<u>12/16/10</u>	<u>\$ 100</u>	<u>Dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
San Francisco Business Times  
ADDRESS (Business Address Acceptable)  
275 Battery St. #940, San Francisco, CA 94111  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Media

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12/3/10</u>	<u>\$ 65</u>	<u>Breakfast Event</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Lee Haynes  
ADDRESS (Business Address Acceptable)  
2013 N. Kirby Way, Roseville, CA 95661  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Law Enforcement

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12/15/10</u>	<u>\$ 300</u>	<u>Laker's Jersey</u>
<u>/ /</u>	<u>\$</u>	<u></u>
<u>/ /</u>	<u>\$</u>	<u></u>

NAME OF SOURCE  
Robert Lin  
ADDRESS (Business Address Acceptable) 91748  
18725 E. Gale Ave. #140 City of Industry, CA  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Doctor

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12/22/10</u>	<u>\$ 150* (est)</u>	<u>Clippers Ticket</u>
<u>/ /</u>	<u>\$</u>	<u>and dinner</u>
<u>/ /</u>	<u>\$</u>	<u></u>

Comments: \* Multiple inquiries about gift value were made.



**SCHEDULE D**  
**Income – Gifts**

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name <u>John Chiang</u>
-------------------------------------------------------------------------------------------------

► NAME OF SOURCE  
Garfield Medical Center

ADDRESS (Business Address Acceptable) 91754  
525 N. Garfield Ave. Monterey Park, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Health Care

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12/12/10</u>	<u>\$ 150</u>	<u>Dinner</u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>

► NAME OF SOURCE  
The Arts  
The California Museum for History, Women and

ADDRESS (Business Address Acceptable) 1200 O Street, Sacramento, CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Educational

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12/14/10</u>	<u>\$ 50 (est)</u>	<u>Hall of Fame Gala</u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>

► NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>

► NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>

► NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>

► NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>

Comments: \* Multiple inquiries about gift value have been made.

**SCHEDULE E**  
**Income – Gifts**  
**Travel Payments, Advances,**  
**and Reimbursements**

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name <div style="text-align: right;">John Chiang</div>

- Reminder – you must mark the gift or income box.
- You are not required to report income from government agencies.
- You may mark the box 501(c)(3) for a travel payment received from a nonprofit 501(c)(3) organization. When the payment is a gift it is reportable but is not subject to the \$420 gift limit.

▶ NAME OF SOURCE	
<u>Aspen Institute</u>	
ADDRESS (Business Address Acceptable) <u>1000 North Third Street</u>	
CITY AND STATE <u>Aspen, CO 81611</u>	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	<input checked="" type="checkbox"/> 501 (c)(3)
<u>Educational</u>	
DATE(S): <u>7/5/10 - 7/6/10</u> AMT: \$ <u>550.00</u> <small>(If applicable)</small>	
TYPE OF PAYMENT: (must check one) <input checked="" type="checkbox"/> Gift <input type="checkbox"/> Income	
DESCRIPTION: <u>Airline Ticket to speak at conference</u> <u>Ticket was not used; travel cancelled because of state budget crisis</u>	

▶ NAME OF SOURCE	
ADDRESS (Business Address Acceptable)	
CITY AND STATE	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	<input type="checkbox"/> 501 (c)(3)
DATE(S): ____/____/____ - ____/____/____ AMT: \$ ____ <small>(If applicable)</small>	
TYPE OF PAYMENT: (must check one) <input type="checkbox"/> Gift <input type="checkbox"/> Income	
DESCRIPTION: _____	

▶ NAME OF SOURCE	
ADDRESS (Business Address Acceptable)	
CITY AND STATE	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	<input type="checkbox"/> 501 (c)(3)
DATE(S): ____/____/____ - ____/____/____ AMT: \$ ____ <small>(If applicable)</small>	
TYPE OF PAYMENT: (must check one) <input type="checkbox"/> Gift <input type="checkbox"/> Income	
DESCRIPTION: _____	

▶ NAME OF SOURCE	
ADDRESS (Business Address Acceptable)	
CITY AND STATE	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	<input type="checkbox"/> 501 (c)(3)
DATE(S): ____/____/____ - ____/____/____ AMT: \$ ____ <small>(If applicable)</small>	
TYPE OF PAYMENT: (must check one) <input type="checkbox"/> Gift <input type="checkbox"/> Income	
DESCRIPTION: _____	

Comments: \_\_\_\_\_